

# HSBC Asset Management (Fund Services UK) Limited (the “ACD”)

## Supplementary Information Document (“SID”)

July 2024

**This document provides important information to help you to decide whether our open-ended investment company (“OEIC”) sub-funds are right for you. You should read this document along with the Key Investor Information Document (“KIID”) for the relevant sub-funds so that you understand what you are buying, and then keep it safe for future reference.**

### General risks

- ◆ The value of an investment, and any income from it, may fall as well as rise, and you may not get back the amount you originally invested
- ◆ Please note that inflation will reduce what you could buy in the future with your investment
- ◆ For further information on risks please refer to the relevant KIID or Full Prospectus

### Who do I contact if I have a complaint?

- ◆ If you are unhappy in any way with our products or services then please let us know. A written copy of our complaint procedure is available on request by contacting the Administrator using the contact details at the end of this document
- ◆ If we cannot resolve your complaint in the first instance, you can refer it to:  
Financial Ombudsman Service, Exchange Tower,  
Harbour Exchange Square, London E14 9SR.  
Telephone: 08000 234 567  
(from a landline) or 03001 239 123 (from a mobile).  
Overseas: +44 2079 640 500  
Email: [complaint.info@financial-ombudsman.org.uk](mailto:complaint.info@financial-ombudsman.org.uk)
- ◆ The Financial Ombudsman Service will generally review complaints from retail customers. However, their criteria for reviewing complaints may mean that even if you have been categorised by a provider of products and services as a retail customer they may not regard you as an eligible complainant. Complaining to the Ombudsman will not affect your legal rights

### Which customer category will you assign to me?

- ◆ We will categorise you as a retail customer and treat you as such in all our dealings with you in respect of this investment. If you invest through a Professional Adviser they will tell you how you have been categorised in relation to the advice you receive

### Is my investment covered by the Financial Services Compensation Scheme?

- ◆ HSBC Asset Management (Fund Services UK) Limited is a member of the Financial Services Compensation Scheme (FSCS). You may be eligible to receive compensation from the scheme if you have a valid claim against us in respect of investment business and we cannot meet our obligations. Most types of investment business will be covered up to a maximum limit of £85,000 per person. The types of claims that may be considered and further details of the scheme are available from the FSCS, through their website [www.fscs.org.uk](http://www.fscs.org.uk) or by calling them on 0800 678 1100 or 0207 741 4100

- ◆ Lines are open from 9am - 5pm Monday to Friday (excluding public holidays). To help us continually improve our service and in the interests of security, we may monitor and/or record your communications with us.

### Can I change my mind about my investment?

- ◆ You have 30 days, from your receipt of your cancellation reminder, in which to cancel your investment. You will receive a reminder of your right to cancel once we have accepted your application to save regularly, or when we have purchased the shares for a lump sum investment, including lump sums that accompany a regular savings application. If you wish to cancel, you need to write to the Administrator with your instructions
- ◆ If you cancel a lump sum investment within the 30 day period you will not incur an initial charge but you may receive back less than your original investment if the value of the shares has fallen in this period. This does not apply to regular monthly investments
- ◆ If you don't cancel your investment, the risks and charges detailed in the relevant KIID and the Full Prospectus will apply and will not be refunded to you if you later withdraw your investment

### How can I buy or sell shares?

- ◆ Investors, including regular savers, wishing to buy Shares must initially provide a completed application form/registration document and appropriate anti-money laundering documentation to the Administrator
- ◆ Subsequently, applications to buy Shares can be made by telephoning the Administrator's Fund Servicing Centre on 0800 358 3011\*. Requests to subsequently alter regular savings amounts must be notified to the Administrator in writing
- ◆ The ACD does not currently accept email instructions for the buying or selling of Shares. The ACD accepts instructions to buy or sell Shares, in respect of unrestricted Share Classes, that are placed through Electronic Instruction Routing service providers with which the ACD has contracted and which Shareholders have access to.
- ◆ A Sole Shareholder wishing to sell Shares should telephone the Administrator's Fund Servicing Centre on 0800 358 3011\* or write to the Administrator. In the case of joint Shareholders wishing to sell Shares the Administrator will need to receive written instructions signed by all registered Shareholders
- ◆ Please note you can only sell shares once payment is received for their purchase

- ◆ If you write to us, we may need to contact you about your investment. Please provide a contact number to avoid delays in processing
- ◆ When we receive instructions to buy or sell shares they will be dealt at the price calculated at the next valuation point. If we receive the instruction before 12 noon on a business day, the deal will be priced at 12 noon that day. If the instruction is not received until after 12 noon, the deal will be priced at 12 noon on the following business day
- ◆ Where the Administrator is unable to pay money out to you, for example the proceeds from the sale of Shares for which supporting documentation is needed, the money may, subject to the FCA Rules, be held by the ACD as client money in a client bank account with HSBC Bank plc and/or other financial institutions. No interest will be paid to you in respect of any client money held in the client money bank account
- ◆ While the ACD does not accept liability for acts, omissions and defaults of authorised financial institutions that hold client money on its behalf, in the event of insolvency you may be an eligible claimant under the Financial Services Compensation Scheme and be entitled as an individual to claim up to £85,000 in respect of the total cash you hold directly and indirectly hold with the failed financial institution.
- ◆ Where amounts of client money are held that are deemed to be de minimus amounts as set out in the FCA Rules, the ACD may, after a period of six years has elapsed, following the date of the last movement on the relevant account, pay away such amounts to charity provided it has made at least one attempt to contact the rightful beneficial owner(s) as set out in the FCA Rules, being the registered Shareholder, and the Shareholder has not responded to the communication within 28 days of the communication being issued. The ACD does not require the consent of Shareholders to do this
- ◆ After a period of six years has elapsed (following the date of the last movement on the relevant account as set out in the FCA Rules) any unclaimed client monies which remain in the ACD's client money account which do not meet the FCA's defined de minimus criteria, may be paid away to a registered charity of the ACD's choice. Before doing so the ACD must ensure that it has taken all reasonable steps in accordance with the FCA Rules, to ensure payment of the client monies to their rightful beneficial owner(s)
- ◆ In all circumstances monies paid to a charity would no longer be treated as client money. The making of a payment of unclaimed client money to a charity would not prevent a Shareholder from making a future claim to the ACD for repayment of such client monies upon production to the ACD of satisfactory evidence of their entitlement to those monies

### **If I buy or sell shares what will I receive from you?**

- ◆ We will send you a confirmation for all lump sum purchases or sales of shares in a sub-fund on the business day after the valuation point used for the deal. This will confirm how many shares have been purchased or sold and other details about the transaction
- ◆ Generally, if you invest by making regular savings we will not send you a confirmation when shares are purchased with each monthly subscription. This information will appear on your half-yearly statement
- ◆ You will not receive any share certificates in respect of your investment in either case

### **How can I find out how much my shares are worth?**

- ◆ You can find out the value of your investment in the following ways: -
  - By calling the Administrator
  - By going online and visiting the HSBC Global Asset Management (UK) Limited website [www.assetmanagement.hsbc.com/uk](http://www.assetmanagement.hsbc.com/uk) and clicking on Funds
  - To look up a price and calculate the value of your investment, you will need to know the name of your sub-fund, the type of shares and how many shares you hold

### **How am I kept informed about my investment?**

- ◆ Annual Reports and Accounts (each a "Report") will be published within four months of the end of each annual accounting period. Half-yearly Reports will be published within two months of the end of each interim accounting period
- ◆ Reports containing the full financial statements are available on the HSBC Global Asset Management (UK) Limited website or on request from the ACD

### **Further Information**

For further information on the sub-funds available from HSBC Asset Management (Fund Services UK) Limited including details regarding switching, income payments and withdrawals, please refer to the Full Prospectus for the relevant OEIC range available on request.

We may use other HSBC Group companies and/or third parties to provide services on our behalf which may include the processing of information about you.

Whether it is processed in the UK or overseas, in accordance with data protection legislation, your information will be protected by a strict code of secrecy and security which all members of the HSBC Group, their staff and any third parties are subject to.

Our full Privacy Notice which contains more detail, can be viewed at [www.assetmanagement.hsbc.co.uk/en/data-privacy-notice](http://www.assetmanagement.hsbc.co.uk/en/data-privacy-notice) or you may call 0800 538 3011 and we will send you a copy in the post.

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### How to contact us

If you have any questions or need to contact us at anytime, you can phone, write to us or send an email:

**Write to the Administrator:** HSBC Asset Management (Fund Services UK) Limited, (Delegated to Northern Trust Global Services SE), Sunderland SR43 4BF.

**Email:** [HSBCenquiries@ntrs.com](mailto:HSBCenquiries@ntrs.com)

### For general enquiries and to buy or sell shares:

Call the Fund Servicing Centre on 0800 358 3011\*. Lines are open from 9am – 5pm Monday to Friday (excluding public holidays).

\* To help us continually improve our service and in the interests of security, we may monitor and/or record your communications with us.